

INTERNAL AUDIT and CONTROL

ONLINE TRAINING

Date: 15,16,17 & from 20 to 24 June 2022

OBJECTIVES

The main objectives are bringing participants up to date with the latest internal audit methodologies, tools and techniques; enhance the quality of and maintain the consistency in conducting the internal audit work of the organization; understand the internal controls environment and the respective roles and responsibilities of stakeholders, in order to develop the skill to perform an Internal Controls risk assessment.

Participants will get acquainted with the key concepts of internal audit methodology and practices through illustration within the financial sector. Active participation will be encouraged (case studies, polls, participants interview, group brainstorming...)

METHODOLOGY

Based on audit standard framework, Virtual classroom – Distance interactive learning via WebEx.

TARGET GROUP

Internal auditors (all levels), compliance officers, risks controllers, business controllers, accountants, Senior & Middle Level management officers who want to learn about audit methodology.

Preferred: at least 3 years' experience in Audit and/or business control of bank's processes, operations, procedures and organization.

LANGUAGE

A good command of English is required.

TRAINER

M. Yves Deceuninck – House of Training/ATTF Trainer

Experienced auditor and coach in risk management, consultant in the financial sector in Luxembourg and across the world, lecturer at University of Lorraine (France) in a master 2 programmes in operational risk and governance and lecturer at the "Polytechnique" school (engineering) in Nancy, France. Invited lecturer at University of Luxembourg in the programme Law & Regulations of Inclusive Finance.

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PLACE DATES

Virtual classroom, WebEx platform

8 sessions of 4 hours each: 15, 16, 17 & from 20 to 24 June 2022 from 9am to 1pm (CEST).

CONTENT

Session 1

- Internal audit and control framework definitions.
- Models and organization, responsibilities, common framework, methodologies, and key process stages; evolution of the 3 lines of defense (model-IIA 2019)

Session 2

- COSO models
- Audit and Governance
- Understand the control environment
- Ethics - Importance in risk management and governance

Session 3

- Use case: entity's controls level
- Cascades on controls and controls mapping
- Formulate a risk-based audit approach

Session 4

- Use Risk assessment: The Auditor's role on operational risk
- Case study

Session 5

- Key points in auditing of Anti Money Laundering/Finance Terrorism
- Key points in auditing of General Data Protection Regulation in Europe and international
- Compliance and internal control

Session 6

- Introduction to digital banking model and machine learning control environment
- IT auditing and IT security risk management

Session 7

- Business Continuity phases
- Geopolitical risks – risk intelligence and monitoring
- Scenarios and stress testing based on macro-economics indicators
- Climate risk approach

Session 8

- Key points on Audit Concepts and techniques; internal audit reports
- Audit of project management

REGISTRATION

Send your filled registration forms via email at KBA, or contact us at:
kbatrainingcenter@bankassoc-kos.com or 038 246 171